



DOCKET NO.: 3992P003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

9/ formal
Drawgs.
Spec w/
6/19/03

File the Application of:

JOHN BRINKMAN, ET AL.

Application No.: 09/990,801

Art Group: 2837

Examiner: Donels, Jeffrey

Filed: November 21, 2001

For: **Interface Device to Couple A Musical
Instrument To A Computing Device To Allow
A User To Play A Musical Instrument In
Conjunction With A Multimedia
Presentation**

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
FEB 24 2003
AC 2800 MAIL ROOM

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 30 sheets including 35 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,
Blakely, Sokoloff, Taylor & Zafman LLP


Eric T. King, Reg. No. 44,188

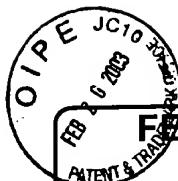
Dated: February 13, 2003

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: February 13, 2003.


Susan McFarlane

02/13/03
Date



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEES TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 09/990,801 |
| Filing Date | November 21, 2001 |
| First Named Inventor | John Brinkman |
| Examiner Name | Donels, Jeffrey |
| Group/Art Unit | 2837 |
| Attorney Docket No. | 3992P003 |

METHOD OF PAYMENT (check one)

 Check Credit card Money Order Other None
 Deposit Account
Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) required under 37 CFR §§ 1.18, 1.17, 1.18 and 1.20.
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------------|--------------|------------------------|----------|
| Fee Code | Fee Code | Fee Description | |
| Code (\$) | Code (\$) | | |
| 1001 750 2001 | 375 | Utility filing fee | |
| 1002 330 2002 | 165 | Design filing fee | |
| 1003 520 2003 | 260 | Plant filing fee | |
| 1004 750 2004 | 375 | Reissue filing fee | |
| 1005 160 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | (\$) | |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | 20* | = | |
| Multiple Dependent | 3 | = | |

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------------|--------------|---|----------|
| Fee Code | Fee Code | Fee Description | |
| Code (\$) | Code (\$) | | |
| 1202 18 | 2202 9 | Claims in excess of 20 | |
| 1201 84 | 2201 42 | Independent claims in excess of 3 | |
| 1203 280 | 2203 140 | Multiple Dependent claim, if not paid | |
| 1204 84 | 2204 42 | **Reissue independent claims over original patent | |
| 1205 18 | 2205 9 | **Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | (\$) | |

*or number previously paid, if greater. For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------------|--------------|--|----------|
| Fee Code | Fee Code | Fee Description | |
| Code (\$) | Code (\$) | | |
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 2053 130 | 2053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | 16 |
| 1252 410 | 2252 205 | Extension for reply within second month | |
| 1253 930 | 2253 465 | Extension for reply within third month | |
| 1254 1,450 | 2254 725 | Extension for reply within fourth month | |
| 1255 1,970 | 2255 985 | Extension for reply within fifth month | |
| 1404 320 | 2401 160 | Notice of Appeal | |
| 1402 320 | 2402 160 | Filing a brief in support of an appeal | |
| 1403 280 | 2403 140 | Request for oral hearing | |
| 1451 1,510 | 2451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,300 | 2453 650 | Petition to revive - unintentional | |
| 1501 1,300 | 2501 650 | Utility issue fee (or reissue) | |
| 1502 470 | 2502 235 | Design issue fee | |
| 1503 630 | 2503 315 | Plant issue fee | |
| 1460 130 | 2460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) | |
| 1808 180 | 1808 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 750 | 1809 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 750 | 2810 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 750 | 2801 375 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | |
| SUBTOTAL (3) | | (\$) | |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

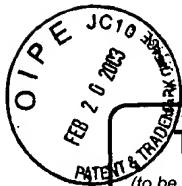
(\$)

SUBMITTED BY

| | | | | | |
|-------------------|--------------|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Eric T. King | Registration No. (Attorney/Agent) | 44,188 | Telephone | (714) 557-3800 |
| Signature | | | | Date | 02/13/03 |

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, reviewing, and submitting the completed application to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

FEB 24 2003
162800 MAIL ROOM
RECEIVED
U.S. PATENT AND TRADEMARK OFFICE
FEB 24 2003



2837

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|----|------------------------|-------------------|
| | | Application No. | 09/990,801 |
| | | Filing Date | November 21, 2001 |
| | | First Named Inventor | John Brinkman |
| | | Group Art Unit | 2837 |
| | | Examiner Name | Donels, Jeffrey |
| Total Number of Pages in This Submission | 34 | Attorney Docket Number | 3992P003 |

ENCLOSURES (check all that apply)

| | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input checked="" type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Petition to Convert a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 | <input type="checkbox"/> Terminal Disclaimer | <div style="border: 1px solid black; padding: 5px; width: 150px; height: 100px; margin-top: 10px;">Transmittal of Formal Drawings</div> |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA | <input type="checkbox"/> CD, Number of CD(s) | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <div style="border: 1px solid black; width: 150px; height: 10px; margin-top: 10px;">Remarks</div> | |

TC 2800 FILE 24 2003
RECEIVED
MAIL ROOM**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

| | |
|-------------------------|---|
| Firm or Individual name | Eric T. King, Reg. No. 44,188 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature | |
| Date | February 13, 2003 |

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

February 13, 2003

| | | | |
|-----------------------|-----------------|------|-------------------|
| Typed or printed name | Susan McFarlane | | |
| Signature | | Date | February 13, 2003 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.